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# INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940


## Explanation of Responses:

1. Reporting Person received an aggregate of 40,000 RSUs. Subject to the provisions of the Amended and Restated 2007 Equity Incentive Plan and Reporting Person's employment and restricted stock unit agreements with the Company, one quarter ( $1 / 4$ th) of the RSUs will vest on June 1, 2022, and an additional one quarter ( $1 / 4$ th) will vest on the annual anniversary of the first vesting date for three (3) additional years, provided the Reporting Person continues to be a Service Provider through the vesting date.
2. Reporting Person received an aggregate of 79,870 stock options. Subject to the provisions of the Amended and Restated 2007 Equity Incentive Plan and Reporting Person's employment and stock option agreements with the Company, one-quarter (1/4th) of the shares subject to the Stock Option will vest on June 1, 2022, and one-thirty-sixth (1/36th) of the shares subject to the Stock Option will vest on the 1st day of July 2022, and on the 1st day of each month thereafter until all of the Stock Options have vested (three years), provided the Reporting Person continues to be a Service Provider through each such vesting date.

## Remarks:

Executed pursuant to the Limited Power of Attorney for Section 16 reporting obligations dated January 25, 2022.

$$
\begin{array}{ll}
\frac{\text { Kathy Austin by: James R. }}{\text { Todd, Attorney-In-Fact }} & \text { 02/0 } \\
\begin{array}{l}
\text { ** Signature of Reporting } \\
\text { Person }
\end{array} & \text { Date }
\end{array}
$$

$\underline{02 / 08 / 2022}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. $78 \mathrm{ff}(\mathrm{a})$.
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

